UTILITY PATENT APPLICATION TRANSMITTAL

| Ac | ddress to: Mail Stop PATENT APPLICATION Commissioner for Patents | Attorney Docket No. | UOM 0305 PUS | | |
|---------|--|--|--|--|--|
| | U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | Inventor(s) or Appln. Identifier | Albert J. Shih, Edward J. Cookson | | |
| 1. | This application entitled <u>Active Filtration C</u> <u>Heated Filters</u> is: | Of Airborne Contamin | ants Employing Heated Porous Resistance- | | |
| | a. X A new application under 37 C | .F.R. § 1.53(b). | | | |
| | | | nuation-in-part application under 37 C.F.R. filed on, entitled | | |
| | c A new utility application whice filed on | th claims the benefit o | of provisional application Serial No, | | |
| App | plication elements and other attached papers: | | | | |
| 2. | X Specification (including Claims and | d Abstract) | [Total Pages <u>28</u>] | | |
| 3. | X Drawings (informal / X formal) [Total Sheets _ | | | | |
| 4. | X Oath or Declaration | | • | | |
| | a. X Newly-executed | | | | |
| • | b Copy from a prior application | (37 C.F.R. § 1.63(d) |) | | |
| 5. | Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | |
| 6. | This application is filed by fewer § 1.53(d)(4). | than all the inventors | named in the prior application, 37 C.F.R. | | |
| | a DELETE the following invent | tor(s) named in the pri | ior nonprovisional application: | | |
| | | | | | |
| | b The inventor(s) to be deleted a | are set forth on a separ | rate sheet attached hereto. | | |
| | CERTIFICAT | ION UNDER 37 C.F.R. § | 1.10 | | |
| th P | hereby certify that this UTILITY PATENT APPLICAT herein are being deposited on the below date with ost Office to Addressee" addressed to: Mail Stop rademark Office, P.O. Box 1450, Alexandria, VA 2: | the United States Posta Patent Application, Co. | al Service in an envelope as "Express Mail | | |
| | xpress lail Label No. <u>EV 141 488 824 US</u> | Lidia Freis | smuth t name of person mailing paper) | | |
| D | ate of Deposit: October 30, 2003 | Fide | a Cleus Muth | | |

| | | | | | Atty. D | kt. No.: UOW 0303 | rus |
|----|---|--|-----------------|-----------------|-----------------|-----------------------|-------|
| 7. | Preliminary | Amendment: | | | | ~ | |
| | a | A Preliminary Amendment Under 37 C.F.R. § 1.115 is attached. | | | | | |
| | b | Cancel in this application orig the filing fee. | inal claims | of t | he prior applic | cation before calcula | ating |
| | c Please amend the specification by inserting before the first line the following sentence: | | | | | | |
| | | "This is a con | tinuation _ | divi | sional of cope | nding | |
| | | application(s) serial numb | er <u>/</u> 1 | iled on | ·" | | |
| | d | A Petition to Suspend Prose Application Filed Concurrentl | | | essary to File | e An Amendment (| New |
| 8. | Small entity status: | | | | | | |
| | a. <u>X</u> | Applicant claims small entity status. | | | | | |
| | b | Small entity status was claimed in the prior nonprovisional application and such status is still proper and desired. | | | | | |
| | c | Is no longer desired. | | | | | |
| 9. | Fee Calculation: | | | | | | |
| | | FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS | |
| | TOTAL CLA | IMS (37 C.F.R. § 1.16(c)) | 16 -20 = | | x 18.00 | | |
| | INDEPENDE | NT CLAIMS (37 C.F.R. § 1.16(b)) | 1 -3 = | | x 86.00 | | |
| | | | | | | | |

| FOR | NUMBER FILED | NUMBER EXTRA | RATE | CALCULATIONS |
|---|-----------------|-----------------|-------------------|--------------|
| TOTAL CLAIMS (37 C.F.R. § 1.16(c)) | 16 -20 = | | x 18.00 | |
| INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b)) | 1 -3 = | | x 86.00 | |
| MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d)) 290.00 | | | | |
| | В | ASIC FEE (37 C | .F.R. § 1.16(a)) | 770.00 |
| | TOTAL | OF ABOVE CA | ALCULATIONS | 770.00 |
| REDUCTION BY 50% FOR FILING BY SMALL ENTITY (NOTE 37 C.F.R. §§ 1.9, 1.27, 1.28) | | | 335.00 | |
| \$40.00 | ASSIGNMENT | RECORDAL FE | E (if applicable) | 40.00 |
| | | | TOTAL | 375.00 |

- 10. X A check in the amount of \$375.00 is enclosed.
- 11. X The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. <u>02-3978</u>:
 - a. X Fees required under 37 C.F.R. § 1.16.
 - b. X Fees required under 37 C.F.R. § 1.17.
- 12. Maintenance of Copendency of Prior Application

A request for extension of time and the appropriate fee have been filed in the pending prior application (or are being filed in the prior application concurrently herewith) to extend the period for response until

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| 13. | | An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto: | | | |
|--------|---|--|--|--|--|
| | a. | Form PTO/SB/08 (sheet(s)) | | | |
| • | b. | Copies of references cited | | | |
| 14. | | Certified copy of priority document(s) AND English Translation Document (if applicable) | | | |
| 15. | | Nonpublication Request Under 37 C.F.R. § 1.213(a) | | | |
| 16. | | Request for Early Publication Under 37 C.F.R. § 1.219 | | | |
| 17. | <u>X</u> | Return Receipt Postcard | | | |
| 18. | | Other: | | | |
| 19. | <u>X</u> | X An Assignment of the invention to The Regents of the University of Michigan having an address of 3003 S. State Street, Ann Arbor, Michigan 48109 | | | |
| | a. | X is attached. | | | |
| , , | b. | was recorded on at Reel, Frame | | | |
| | c. | Applicant requests that the above assignee information be published with the application. | | | |
| 20. | The power of attorney in the prior application is to: | | | | |
| • | | Name of Attorney of Record Reg. No. | | | |
| • | | The power appears in the original papers in the prior application. | | | |
| | | The power does not appear in the original papers, but was filed on | | | |
| | | A new power has been executed and is attached. | | | |
| 21. | Corr | espondence Address: Please address all future communications to: | | | |
| | | customer no. 22045 | | | |
| | | Telephone: 248-358-4400; Fax: 248-358-3351 | | | |
| | | Respectfully submitted, | | | |
| | | Albert J. Shih, Edward J. Cookson | | | |
| Dota | <u> </u> | clober 30, 2003 Wisei 55= | | | |
| · | | Name: William G. Conger Registration No.: 31,209 | | | |
| | | X Attorney or agent of record Filed under Rule 34(a) | | | |